

CLIENT PROFILE

Investor Profile <i>(Please select any one)</i>	<input type="checkbox"/> Premium Investor <input type="checkbox"/> Accredited Investor (Private Wealth) <input type="checkbox"/> Annual Income > SGD 300,000 (or eq) <input type="checkbox"/> Liquid Net Worth > SGD 1,000,000 (or eq) <input type="checkbox"/> Total Net Worth > SGD 2,000,000 (or eq)
Account Type <i>(Please select any one)</i>	<input type="checkbox"/> Single <input type="checkbox"/> Joint - Primary <i>(Please complete JOINT application)</i> <input type="checkbox"/> Joint - Secondary <i>(Please complete JOINT application)</i>
First Name	
Last Name	
Date of Birth (DD-MMM-YYYY)	
Mobile Number (include Country Code)	
Email	
Employment Status <i>(Please select any one)</i>	<input type="checkbox"/> Permanent employee <input type="checkbox"/> Contract employee <input type="checkbox"/> Self-employed <input type="checkbox"/> Home-maker <input type="checkbox"/> Retired <input type="checkbox"/> Unemployed
Employer Name	
Industry	
Highest Level of Education <i>(Please select any one)</i>	<input type="checkbox"/> Under Graduate <input type="checkbox"/> Post Graduate <input type="checkbox"/> Doctorate <input type="checkbox"/> Professional <input type="checkbox"/> Unspecified
Current Residential Address	
Nationality	
Passport Number + Expiry Date (DD-MM-YYYY)	
AADHAR Number (if resident of India)	
Tax Jurisdiction(s) + Tax ID Example: INDIA + ABCDE1234F	1. []+ [] 2. []+ [] 3. []+ []
Number of Dependents	
Does your education/role provide investment experience / knowledge <i>(Please select any one)</i>	<input type="checkbox"/> Little / None <input type="checkbox"/> Basic Products <input type="checkbox"/> Significant / Complex Products
Financial Net Worth (Financial Assets – Liabilities)	
Total Net Worth (All Assets – Liabilities)	
Annual Income (Previous FY / Previous 12months)	
Expected change in Annual Income next 3years <i>(Please select any one)</i>	<input type="checkbox"/> Reduce by up to 10% <input type="checkbox"/> Remain same <input type="checkbox"/> Increase by up to 10% - 20% <input type="checkbox"/> Increase more than 20%
Reduction in Financial Net Worth in last 1 year <i>(Please select any one)</i>	<input type="checkbox"/> None <input type="checkbox"/> Between 0-10% <input type="checkbox"/> Between 10% - 20% <input type="checkbox"/> More than 20%
Total Loans / Debt <i>(Please select any one)</i>	<input type="checkbox"/> None <input type="checkbox"/> Housing / Property <input type="checkbox"/> Investments <input type="checkbox"/> Discretionary (Medical, Holiday, etc)

Intended Investment Holding Period <i>(Please select any one)</i>	<input type="checkbox"/> 0 – 2 years <input type="checkbox"/> 2 – 5 years <input type="checkbox"/> 5 – 10 years <input type="checkbox"/> More than 10 years
Acceptable Returns scenario <i>(Please select any one)</i>	<input type="checkbox"/> A (Upside +7%, Downside -2%) <input type="checkbox"/> B (Upside +15%, Downside -8%) <input type="checkbox"/> C (Upside +25%, Downside -15%) <input type="checkbox"/> D (Upside +45%, Downside -33%)
Products invested in the last 5 years <i>(Please select ALL applicable categories)</i>	<input type="checkbox"/> Listed Stocks / Equities / ETFs <input type="checkbox"/> Bonds <input type="checkbox"/> Mutual Funds <input type="checkbox"/> Commodities <input type="checkbox"/> Derivatives (FnO, Structured Notes) <input type="checkbox"/> Foreign Exchange <input type="checkbox"/> Hedge Funds / Private Equity <input type="checkbox"/> REITs <input type="checkbox"/> None (First Time Investor)
Source of Funds <i>(Please assign proportions against relevant categories)</i>	<input type="checkbox"/> % = Salary / Pension Income <input type="checkbox"/> % = Inheritance <input type="checkbox"/> % = Investment / Trading Income <input type="checkbox"/> % = Allowance / Spousal Income <input type="checkbox"/> % = Others
Politically Exposed Person (PEP) <i>(Please select any one)</i>	<input type="checkbox"/> No <input type="checkbox"/> Yes <i>(Please complete the PEP Appendix)</i>

Date:



CLIENT PROFILE – PEP Appendix

Kindly provide as many details as possible. Attach supporting documents where required.

<i>Government Positions/Affiliations</i>		
Position(s) held in Government (by the individual or family members in the last 10years)		
Affiliations / Positions held in a Political party (by the individual or family members in the last 10years)		
Positions held in regulatory/governing bodies established/sponsored by the Government (by the individual or family members in the last 10years)		
Past / Outstanding legal matters (brought by/against the individual or family members in the last 10years)		
<i>Expected Investment Activity (with Kristal.AI)</i>		
Assets Under Management / Advisory	USD	
<i>Current / Past Investment History</i>		
Service Providers	Products	AUM
<i>Supporting Documents (attach in left column)</i>		
ID Proof (Passport)		
Residency Proof (Utility Bill, Bank Statement)		
KYC Proof (e.g. CKYC, myneta)		
Name check (e.g. Google search results)		
AML report		
Source of Wealth (e.g. CA certificate)		
Tax ID (e.g. PAN)		
Tax returns (previous 3 financial years)		
Other supporting documents		